261302US40PCT

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE ARE ICATION OF:

Takayuki NAKACHI, et al.

AND INC.

10/512,075

GAU:

2615

FILED:

November 5, 2004

**EXAMINER:** 

FOR:

ENCODING METHOD, DECODING METHOD, ENCODING APPARATUS AND DECODING APARATUS

# INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR

Applicant(s) wish to disclose the following information.

### REFERENCES

- The applicant(s) wish to make of record the references cited in the attached Japanese Office Action and listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### RELATED CASES

- Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

### **CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- □ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

## **DEPOSIT ACCOUNT**

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number <u>15-0030</u>. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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| Form PTO 1449                                                                    |                                                                                                                                                                                                                                              | U.S. DEPARTMENT                                                                                                                                        | OF COMMERCE      | ATTY DOCKET NO.<br>261302US40PCT |                 | SERIAL NO.<br>10/512,075 |                               |  |
|----------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------|------------------|----------------------------------|-----------------|--------------------------|-------------------------------|--|
| (Modified)                                                                       |                                                                                                                                                                                                                                              | PATENT AND TRA                                                                                                                                         |                  |                                  |                 |                          |                               |  |
|                                                                                  |                                                                                                                                                                                                                                              | PE                                                                                                                                                     |                  | APPLICANT '                      |                 |                          |                               |  |
| LIST OF                                                                          | REG                                                                                                                                                                                                                                          | RENCES OF ED BY AF                                                                                                                                     | PPLICANT         | Takayuki NAKACHI, et al.         |                 |                          |                               |  |
| LIST OF REFERENCES COTED BY APPLICANT                                            |                                                                                                                                                                                                                                              |                                                                                                                                                        | FILING DATE      |                                  | GROUP           |                          |                               |  |
| JAN 1 6 2007 E                                                                   |                                                                                                                                                                                                                                              |                                                                                                                                                        | November 5, 2004 |                                  | 2615            |                          |                               |  |
| U.S. PATENT DOCUMENTS  EXAMINER INITIAL DATE NAME CLASS SUB CLASS IF APPROPRIATE |                                                                                                                                                                                                                                              |                                                                                                                                                        |                  |                                  |                 |                          |                               |  |
| EXAMINER<br>INITIAL                                                              | (a)                                                                                                                                                                                                                                          | TRABE NUMBER                                                                                                                                           | DATE             | NAME                             | CLASS           | SUB<br>CLASS             | FILING DATE<br>IF APPROPRIATE |  |
|                                                                                  | AA                                                                                                                                                                                                                                           |                                                                                                                                                        |                  |                                  |                 |                          |                               |  |
| ,                                                                                | AB                                                                                                                                                                                                                                           |                                                                                                                                                        |                  |                                  |                 |                          |                               |  |
| i                                                                                | AC                                                                                                                                                                                                                                           |                                                                                                                                                        |                  |                                  | Î               |                          |                               |  |
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|                                                                                  | АМ                                                                                                                                                                                                                                           |                                                                                                                                                        |                  |                                  |                 |                          |                               |  |
|                                                                                  | AN                                                                                                                                                                                                                                           |                                                                                                                                                        |                  |                                  |                 |                          | _                             |  |
| FOREIGN PATENT DOCUMENTS                                                         |                                                                                                                                                                                                                                              |                                                                                                                                                        |                  |                                  |                 |                          |                               |  |
|                                                                                  |                                                                                                                                                                                                                                              | DOCUMENT<br>NUMBER                                                                                                                                     | DATE             | COUNTRY                          |                 | TRANSLATION              |                               |  |
|                                                                                  | AO                                                                                                                                                                                                                                           | 4-100379                                                                                                                                               | 04/02/1992       | Japan (with English abstract)    |                 | YES                      | NO X                          |  |
|                                                                                  | AP                                                                                                                                                                                                                                           |                                                                                                                                                        |                  |                                  |                 |                          |                               |  |
|                                                                                  | AQ                                                                                                                                                                                                                                           | 18. 14.0                                                                                                                                               |                  |                                  |                 |                          |                               |  |
|                                                                                  | AR                                                                                                                                                                                                                                           |                                                                                                                                                        |                  |                                  | •               |                          |                               |  |
|                                                                                  | AS                                                                                                                                                                                                                                           |                                                                                                                                                        | <del> </del>     |                                  |                 |                          |                               |  |
|                                                                                  | AT                                                                                                                                                                                                                                           |                                                                                                                                                        |                  |                                  |                 |                          |                               |  |
|                                                                                  | AU                                                                                                                                                                                                                                           |                                                                                                                                                        |                  |                                  |                 |                          |                               |  |
|                                                                                  | AV                                                                                                                                                                                                                                           |                                                                                                                                                        |                  |                                  |                 |                          |                               |  |
| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)          |                                                                                                                                                                                                                                              |                                                                                                                                                        |                  |                                  |                 |                          |                               |  |
|                                                                                  | AW                                                                                                                                                                                                                                           | Yoshito ABE, et al., "Multiresolution Hierarchical Coding for High Definition Images", PCSJ95, October 2, 1995, Pages 119-120 (with English abstract). |                  |                                  |                 |                          |                               |  |
|                                                                                  | AX                                                                                                                                                                                                                                           |                                                                                                                                                        |                  |                                  |                 |                          |                               |  |
|                                                                                  | AY                                                                                                                                                                                                                                           |                                                                                                                                                        |                  |                                  |                 |                          |                               |  |
|                                                                                  | AZ                                                                                                                                                                                                                                           |                                                                                                                                                        |                  |                                  | Addi            | tional Refe              | rences sheet(s) attached      |  |
| Examiner                                                                         |                                                                                                                                                                                                                                              |                                                                                                                                                        |                  |                                  | Date Considered |                          |                               |  |
|                                                                                  | *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |                                                                                                                                                        |                  |                                  |                 |                          |                               |  |